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ATTORNEY DOCKET NO. 2001-0451.00
[12016.0047U1]
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Baker et al.

Serial No. 10/086,985

Filed: February 28, 2002

Group Art Unit: 2853

Examiner: Unassigned

Confirmation No. 6315

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TC 2600 MAIL ROOM

For: SYSTEM AND METHOD OF
COATING PRINT MEDIA IN
AN INKJET PRINTER

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TC 1700

PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT
APPLICATION, PURSUANT TO 37 C.F.R. § 1.48(a)

BOX PETITION

Commissioner for Patents
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.

The Candler Building
127 Peachtree Street, NE
Atlanta, Georgia 30303-1811

March 20, 2003

Sir:

Pursuant to 37 C.F.R. §1.48(a), the Applicants hereby petition for correction of inventorship in the above-captioned patent application to add Mark Alan Wahl as a co-inventor. The correct inventors for the present application are **Ronald W. Baker, Michael W. Baskette, Randall S. Gall, Hrishikesh P. Gogate, James P. Harden, Philip J. Heink, Andrew C. Hogan, Royden T. Kern, Jean M. Massie, Sean D. Smith and Mark A. Wahl.**

03/28/2003 CNGUYEN 00000120 10086985

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N&R-W169268.DOC

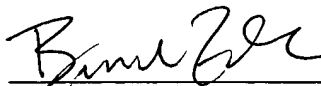
This request is accompanied by:

- (1) A statement from the person being added as an inventor pursuant to 37 C.F.R. § 1.48(a) that the error in inventorship occurred without deceptive intention on his part;
- (2) A copy of Mark Alan Wahl's declaration executed pursuant to 37 C.F.R § 1.63;
- (3) A copy of declarations executed by co-inventors: Ronald W. Baker, Michael W. Baskette, Randall S. Gall, Hrishikesh P. Gogate, James P. Harden, Philip J. Heink, Andrew C. Hogan, Royden T. Kern, Jean M. Massie and Sean D. Smith pursuant to 37 C.F.R § 1.63;
- (4) Credit Card Authorization Payment Form PTO-2038 in the amount of \$130.00 (\$130.00 processing fee under 37 C.F.R. § 1.17(i)); and
- (5) The written consent of the existing assignee, Lexmark International, Inc.

Payment in the amount of \$130.00 is to be charged to a credit card, and such payment is authorized by the signed, enclosed document entitled: Credit Card Payment Form PTO-2038. Although this amount is believed to be correct, the Commissioner is hereby authorized to charge any additional fees due or credit any overpayment to Deposit Account 14-0629. Should there be any questions, please contact the undersigned at (404) 688-0770.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.




Bernard L. Zidar
Reg. No.: 48,620

127 Peachtree Street. N.E.
Atlanta, Georgia 30303-1811

Attorney Docket No.: 2001-0451.00
[12016.0047U1]
Serial No.: 10/086,985

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service in an envelope addressed to:
Commissioner for Patents, BOX PETITION, Washington, D.C. 20231, on the date shown below.


Bernard L. Zidar

3/20/03
Date

**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**RECEIVED
MAR 31 2003
TC 2800 MAIL ROOM

(X) Original () Supplemental () Substitute () PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am a first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "**System and Method of Coating Print Media in an Inkjet Printer**," which is described and claimed in the specificationRECEIVED
APR 2 2003(check one) [] which is attached hereto, or
[X] which was filed on February 28, 2002, as United States Application No. 10/086,985 and with amendments through (if applicable), or
[] in International Application No. PCT/, filed , and as amended on (if applicable).

TC 1700

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known by me to be material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) or §365(b) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATIONS: (ENTER BELOW IF APPLICABLE)			PRIORITY CLAIMED (MARK APPROPRIATE BOX BELOW)	
APP. NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	YES	NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United

the patentability of the claims of this application as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS (MARK APPROPRIATE COLUMN BELOW)		
		PATENTED	PENDING	ABANDONED

I hereby appoint the following attorneys and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Address all telephone calls to D. Brent Lambert, Esquire at telephone no. (859) 232-2000.

Address all correspondence to:

D. Brent Lambert, Esq.
Lexmark International, Inc.
Intellectual Property Law Department
740 West New Circle
Lexington, Kentucky 40550

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor:

Mark Alan Wahl

Inventor's signature:

Mark Alan Wahl

Date: 8/10/02

Residence:

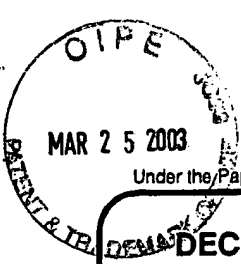
442 E. SLEIGH BELL DR. SANTA CLAUS, IN 47579

Post Office Address:

Same as above

Citizenship:

United States of America



**DECLARATION FOR UTILITY OR
DESIGN
PATENT APPLICATION
(37 CFR 1.63)**

☐ Declaration Submitted with Initial Filing
OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	2001-0451.00
First Named Inventor	Baker, Ronald Willard
COMPLETE IF KNOWN	
Application Number	10 / 086,985
Filing Date	February 2, 2002
Art Unit	2853
Examiner Name	

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

System And Method Of Coating Print Media In An Inkjet Printer

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TC 1700

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

February 2, 2002

as United States Application Number or PCT International

Application Number

10/086,985

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

DECLARATION — Utility or Design Patent ApplicationDirect all correspondence to: ☒ Customer Number or Bar Code Label **21972** OR ☐ Correspondence address below

Name

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Address

TC 1700

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR: ☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any)) Ronald Willard

Family Name
or Surname

Baker

Inventor's
Signature

Ronald Willard Baker

Date

5/20/02

Residence: City Versailles

State KY

Country US

Citizenship US

Mailing Address 806 Pheasant Lane

City Versailles

State KY

ZIP 40383

Country US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle (if any)) Michael Wesley

Family Name
or Surname

Baskette

Inventor's
Signature

Michael Wesley Baskette

Date

5/20/02

Residence: City Lexington

State KY

Country US

Citizenship US

Mailing Address 922 Mason Headley Road

City Lexington

State KY

ZIP 40504

Country

☒ Additional inventors are being named on the 3 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → +

PTO/SB/02A (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION

ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Randall Steven		Gall	
Inventor's Signature <i>Randall Steven Gall</i>		Date <i>5/20/02</i>	
Residence: City Lexington	State KY	Country US	Citizenship US
Mailing Address 1236 Indian Mound Road			
Mailing Address			
City Lexington	State KY	ZIP 40502	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Hishikesh Pramod		Gogate	
Inventor's Signature <i>Hishikesh Pramod Gogate</i>		Date <i>5/20/02</i>	
Residence: City Lexington	State KY	Country US	Citizenship Indian
Mailing Address 1200 Harmes Way			
Mailing Address			
City Lexington	State KY	ZIP 40509	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
James Philip		Harden	
Inventor's Signature <i>James Philip Harden</i>		Date <i>5/20/02</i>	
Residence: City Lexington	State KY	Country US	Citizenship US
Mailing Address 3424 Lyon Drive			
Mailing Address			
City Lexington	State KY	ZIP 40513	Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (11-00)

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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 2 of 3

Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Philip Jerome		Heink	
Inventor's Signature <i>Philip Jerome Heink</i>		Date <u>5/20/02</u>	
Residence: City Lexington	State KY	Country US	Citizenship US
Mailing Address 3334 Manilla			
Mailing Address			
City Lexington	State KY	ZIP 40513	Country US
Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Andrew Charles		Hogan	
Inventor's Signature <i>Andrew Charles Hogan</i>		Date <u>5/20/02</u>	
Residence: City Lexington	State KY	Country US	Citizenship US
Mailing Address 4817 Agape Drive			
Mailing Address			
City Lexington	State KY	ZIP 40514	Country US
Name of Additional Joint Inventor, If any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Royden Thomas		Kern	
Inventor's Signature <i>Royden Thomas Kern</i>		Date <u>5/20/02</u>	
Residence: City Lexington	State KY	Country US	Citizenship US
Mailing Address 2205 Cornerstone			
Mailing Address			
City Lexington	State KY	ZIP 40509	Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (11-00)
Approved for use through 10/31/2002. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

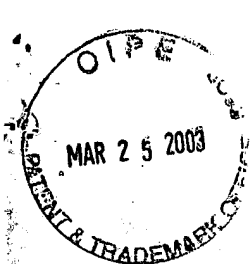
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DECLARATION

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 3 of 3

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Jean Marie		Massie	
Inventor's Signature <i>Jean Marie Massie</i>		Date <i>5/20/02</i>	
Residence: City Lexington	State KY	Country US	Citizenship US
Mailing Address 583 Gingermill Lane			
Mailing Address			
City Lexington	State KY	ZIP 40509	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Sean David		Smith	
Inventor's Signature <i>Sean David Smith</i>		Date <i>5/20/02</i>	
Residence: City Lexington	State KY	Country US	Citizenship US
Mailing Address 3408 Fox Drive			
Mailing Address			
City Lexington	State KY	ZIP 40515	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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**DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

() Original (X) Supplemental () Substitute () PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am a first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "System and Method of Coating Print Media in an Inkjet Printer," which is described and claimed in the specification

- (check one) [] which is attached hereto, or
[X] which was filed on February 28, 2002, as United States Application No. 10/086,985 and with amendments through (if applicable), or
[] in International Application No. PCT/, filed , and as amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known by me to be material to the patentability of the claims of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) or §365(b) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATIONS: (ENTER BELOW IF APPLICABLE)			PRIORITY CLAIMED (MARK APPROPRIATE BOX BELOW)	
APP. NUMBER	COUNTRY	DAY/MONTH/YEAR FILED	YES	NO

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

APPLICATION NUMBER	FILING DATE

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TC 1700

States Code, §112, I acknowledge the duty to disclose all information known by me to be material to the patentability of the claims of this application as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

APPLICATION SERIAL NO.	FILING DATE	STATUS (MARK APPROPRIATE COLUMN BELOW)		
		PATENTED	PENDING	ABANDONED

I hereby appoint the following attorneys and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Address all telephone calls to D. Brent Lambert, Esq. at telephone no. (859) 232-2000.

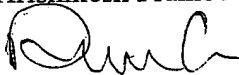
Address all correspondence to:

D. Brent Lambert, Esq.
Lexmark International, Inc.
Intellectual Property Law Department
740 West New Circle
Lexington, Kentucky 40550

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Hrishikesh Pramod Gogate

Inventor's signature:



Date: 8/21/02

Residence:

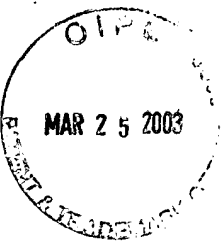
1200 Harmes Way, Lexington, Kentucky 40509

Post Office Address:

Same as above

Citizenship:

United States of America



ATTORNEY DOCKET NO. 12016.0047U1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)

Baker et al.)

Serial No. 10/086,985)

Filed: February 28, 2002)

For: **SYSTEM AND METHOD OF
COATING PRINT MEDIA IN
AN INKJET PRINTER**)

) Group Art Unit: 2853

) Examiner: Unassigned

TO 2800 MAIL ROOM

MAR 31 2003

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STATEMENT OF MARK ALAN WAHL ACCOMPANYING
PETITION FOR CORRECTION OF INVENTORSHIP IN PATENT
APPLICATION PURSUANT TO 37 C.F.R. § 1.48(a)

Director, Group Art Unit 2853
Commissioner for Patents
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.
The Candler Building
127 Peachtree Street, NE
Atlanta, Georgia 30303-1811

Sir:

I, Mark Alan Wahl, state that I am a co-inventor of the invention described and claimed in the above-captioned patent application and that the error in my not being named as a co-inventor occurred without deceptive intention on my part.


Mark Alan Wahl

2/5/03
Date



ATTORNEY DOCKET NO. 12016.0047U1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
)
Baker et al.)
)
Serial No. 10/086,985)
)
Filed: February 28, 2002)
)
For: SYSTEM AND METHOD OF)
 COATING PRINT MEDIA IN)
 AN INKJET PRINTER)
)

Group Art Unit: 2853
Examiner: Unassigned

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MAR 31 2003
TC 2800 MAIL ROOM

CONSENT OF ASSIGNEE, LEXMARK INTERNATIONAL, INC.
ACCOMPANYING PETITION FOR CORRECTION OF INVENTORSHIP IN
PATENT APPLICATION PURSUANT TO 37 C.F.R. § 1.48(a)

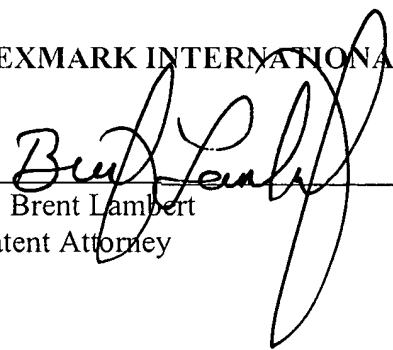
Director, Group Art Unit 2853
Commissioner for Patents
Washington, D.C. 20231

NEEDLE & ROSENBERG, P.C.
The Candler Building
127 Peachtree Street, NE
Atlanta, Georgia 30303-1811

Sir:

Lexmark International, Inc., assignee of the above-captioned patent application, hereby consents to the correction of inventorship in the above-captioned patent application to add Mr. Mark Alan Wahl as co-inventor.

LEXMARK INTERNATIONAL, INC.

By: 
D. Brent Lambert
Patent Attorney

2/13/03
Date